

06/09/04

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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 P.O. Box 1450  
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8156

7590

03/08/2004

JOHN P. O'BANION  
 O'BANION & RITCHEY LLP  
 400 CAPITOL MALL SUITE 1550  
 SACRAMENTO, CA 95814

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

|                    |
|--------------------|
| (Depositor's name) |
| (Signature)        |
| (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/739,391      | 12/15/2000  | Ronald P. Reade      | IB-1632             | 2586             |

TITLE OF INVENTION: PARTICLE BEAM BIAxIAL ORIENTATION OF A SUBSTRATE FOR EPITAXIAL CRYSTAL GROWTH

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES          | \$665     | \$300           | \$965            | 06/08/2004 |

| EXAMINER           | ART UNIT | CLASS-SUBCLASS |
|--------------------|----------|----------------|
| KUNEMUND, ROBERT M | 1765     | 177-004000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 John P. O'Banion  
 2  
 3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

OAKLAND, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 10

## 4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
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(Authorized Signature)

(Date)

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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06/10/2004 MBERHE1 00000160 09739391

01 FC:2501  
 02 FC:1504  
 03 FC:8001

665.00 OP  
 300.00 OP  
 30.00 OP

TRANSMIT THIS FORM WITH FEE(S)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/739,391 Confirmation No.: 2586  
Applicant : RONALD P. READE, et al.  
Title : PARTICLE BEAM BIAxIAL ORIENTATION OF A SUBSTRATE  
FOR EPITAXIAL CRYSTAL GROWTH  
Filed : DECEMBER 15, 2000  
TC/A.U. : 1765  
Examiner : KUNDEMUND, ROBERT M.  
Docket No. : IB-1632  
Cust. No. : 8156

**Mail Stop Issue Fee**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22213-1450**

**ISSUE FEE TRANSMITTAL LETTER**  
**(Small Entity)**

Dear Sir:

The following items are enclosed:

1. Part B - Fee(s) Transmittal (PTOL-85);
2. Check in the amount of \$ 995  
X \$665 issue fee  
X \$300 publication fee  
X \$ 30 advance order fee (10 copies)

Please charge any fee deficiency to Deposit Account No. 07-1137.

Date: 6/7/2004

Respectfully submitted,

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010



**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that the enclosed:

Part B - Fee(s) Transmittal (PTOL-85)

is being deposited with the United States Postal Service on 6/7/2004 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV352302906US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOHN P. O'BANION

(Type or print name of person mailing paper)

A handwritten signature in black ink, appearing to read "J.P. O'Banion", written over a horizontal line.

(Signature of person mailing paper)